



## **DECISION OF THE GOVERNING BOARD**

### **adopting the Amendment number 1 to the budget 2015**

**N° 18/2015**

THE GOVERNING BOARD OF THE SHIFT2RAIL JOINT UNDERTAKING,

Having regard to Council Regulation (EU) No 642/2014 of 16 June 2014 establishing the Shift2Rail Joint Undertaking (hereinafter "S2R Regulation"),

Having regard to the Statutes annexed to the Council Regulation (EU) No 642/2014 on the S2R JU (hereinafter "the S2R Statutes"), and in particular Article 8(h) thereof;

Having regard to the Financial Rules of the Shift2Rail Joint Undertaking (hereinafter "the S2R Financial Rules") adopted by the S2R Governing Board on 30 July.

Whereas:

(1) The Governing Board adopts the S2R Joint Undertaking's annual budget, as proposed by the Executive Director, including the staff establishment plan indicating the number of temporary posts by function group and by grade as well as the number of contract staff and seconded national experts expressed in full-time equivalents.

(2) The S2R JU establishes and implements its budget in accordance with the budgetary principles of unity, budgetary accuracy, annuality, equilibrium, unit of account, universality, specification, sound financial management, which requires effective and efficient internal control, and transparency.

In its ordinary meeting of 11 December 2015, has adopted the following decision:

HAS DECIDED AS FOLLOWS:

#### *Article 1*

The amendment number 1 to the annual budget 2015 set out in the Annex of this decision is hereby adopted.

Done at Brussels, on 11 December 2015

For the Governing Board



Henry HOLELEI  
The Chairperson

# **SHIFT2RAIL JOINT UNDERTAKING**

**BUDGET 2015**

**Amendment number 1**

## Overviews

### Human Resources

Human Resources	2014		2015
	Authorised under the Budget	actually filled as of 31/12/2014	Authorised under the Budget
Establishment plan posts : AD	2	1	4
Establishment plan posts : AST			
Establishment plan posts : AST/SC			
<b>Total Establishment plan posts</b>	<b>2</b>	<b>1</b>	<b>4</b>
Contract Agents	4	3	10
Seconded National Experts	0	0	0
<b>TOTAL STAFF</b>	<b>6</b>	<b>4</b>	<b>14</b>

### Financial Contribution to be collected or received

REVENUES	2014	2015
	Executed Budget	Budget
EU contribution (Incl EFTA)	18 047	1 352 212
Contributions from the members other than the Union	0 <sup>(1)</sup>	1 352 212
<b>TOTAL REVENUES</b>	<b>18 047</b>	<b>2 704 424</b>

(1) The industry contribution towards the budget 2014 is foreseen to be collected in 2016

### Expenditure

EXPENDITURE	Appropriations Executed Budget 2014		Appropriations Budget 2015	
	Commitment	Payment	Commitment	Payment
Title 1 - Staff expenditure	15 816	11 682	861 014	861 014
Title 2 - Infrastructure and operating expenditure	252 675	6 365	1 843 410	1 843 410
Title 3 - Operational expenditure	N/A	N/A	45 334 167	0
<b>TOTAL EXPENDITURE</b>	<b>268 491<sup>(1)</sup></b>	<b>18 047</b>	<b>48 038 591</b>	<b>2 704 424</b>

(1) Contribution from the members other than the Union towards the administrative expenditure in 2014 has not been collected.

# 1. Staff Establishment Plan

## Establishment plan posts

Function group and grade	2014				2015	
	Authorised Budget		Filled as of 31/12/2014		Authorised Budget	
	Permanent posts	Temporary posts	Permanent posts	Temporary posts	Permanent posts	Temporary posts
AD 16						
AD 15						
AD 14		1				1
AD 13						
AD 12						
AD 11						
AD 10						
AD 9		1				2
AD 8						1
AD 7						
AD 6						
AD 5				1		
AD TOTAL	0	2	0	1	0	4
AST 1-11						
AST TOTAL	0	0	0	0	0	0
AST/SC 1-6						
AST/SC TOTAL	0	0	0	0	0	0
TOTAL		2		1		4
GRAND TOTAL	2		1		4	

## External personnel

Contract agents	Authorised 2014	Recruited as of 31/12/2014	2015
Function Group IV	2	3	5
Function Group III	1		3
Function Group II	1		2
Function Group I			
TOTAL	4	3	10

## Seconded National Expert

Seconded National Experts	2014 Authorised Budget	Filled as of 31/12/2014	2015 Authorised Budget
TOTAL	0	0	0

# 2. Revenue

REVENUES	Revenues
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	Appropriations Executed Budget 2014		Appropriations Budget 2015	
	Commitment	Payment	Commitment	Payment
<b>EU CONTRIBUTION incl EFTA</b>	<b>268 491</b>	<b>18 047</b>	<b>46 686 379</b>	<b>1 352 212</b>
- Administrative (Title 1 and Title 2)	268 491	18 047	1 352 212	1 352 212
- Operational (Title 3)			45 334 167	0
<b>CONTRIBUTION FROM MEMBERS OTHER THAN THE UNION</b>	<b>0<sup>(1)</sup></b>	<b>0<sup>(1)</sup></b>	<b>1 352 212</b>	<b>1 352 212</b>
<b>TOTAL</b>	<b>268 491</b>	<b>18 047</b>	<b>48 038 591</b>	<b>2 704 424</b>

(1) A contribution from the members other than the Union towards the Budget 2014 is foreseen to be collected in 2016.

### 3. Expenditure

EXPENDITURE		Appropriations Executed Budget 2014		Appropriations Budget 2015	
		Commitment	Payment	Commitment	Payment
<b>Ch</b>	<b>Title 1 - Staff expenditure</b>	<b>15 816</b>	<b>11 682</b>	<b>861 014</b>	<b>861 014</b>
11	Salaries & allowances	5 656	5 656	636500	636500
	- Establishment plan posts			276 500	276 500
	- External personnel	5 656	5 656	360 000	360 000
13	Mission expenses	3 273	3 273	42 000	42 000
15	Training			13 500	13 500
19	Other Staff related expenditure	6 887	2 753	169 014	169 014
<b>Ch</b>	<b>Title 2 - Infrastructure and operating expenditure</b>	<b>252 675</b>	<b>6 365</b>	<b>1 843 410</b>	<b>1 843 410</b>
20	Rental of buildings and associated costs	24 970		295 000	295 000
21	Information, communication technology and data processing			401 000	401 000
22	Movable property and associated costs	150 000		558 410	558 410
23	Current administrative expenditure			30000	30000
24	Postage / Telecommunications			1 000	1 000
25	Meeting expenses	6 365	6 365	80 000	80 000
26	Running costs in connection with operational activities			178 000	178 000
27	Information and publishing			175 000	175 000
29	Other infrastructure and operating expenditure	71 340		125 000	125 000
<b>Ch</b>	<b>Title 3 - Operational expenditure</b>	<b>0</b>	<b>0</b>	<b>45 334 167</b>	<b>0<sup>(1)</sup></b>
	<b>TOTAL</b>	<b>268 491</b>	<b>18 047</b>	<b>48 038 591</b>	<b>2 704 424</b>

(1) All payments in relation to the Operational Commitment Appropriations in Budget 2015 will be executed in year 2016 and after.

#### 4. Schedule of payments in subsequent years

	Commitment Appropriations	Payment Appropriations			
	Budget 2015	Budget 2015	Budget 2016	Estimate Budget 2017	Estimate Budget 2018 and after
<b>Title 1 and Title 2 Administrative expenditure</b>	2 704 424	2 704 424			
<b>Title 3 Operational Expenditure</b>	45 334 167	0	22 667 084	0	22 667 084
<b>Total</b>	<b>48 038 591</b>	<b>2 704 424</b>	<b>22 667 084</b>	<b>0</b>	<b>22 667 084</b>

This schedule of payments is to show the amount of Commitment Appropriations requested in 2015 and the Payment Appropriations required in 2015 and in the subsequent years to meet them. In line with H2020 rules, after the signature of grant agreements a pre-financing of 50% is foreseen. Further payments are foreseen after the reporting periods.

The in-kind contribution has **not** been included in these figures.

It should be noted that this table does not reflect any payments due in relation to calls/invitations for proposals launched from any other budgets than 2015. The payments made towards the operational expenditure resulting from the Horizon 2020 Transport Work Programme 2014-2015 are not shown in this table.

#### 5. The overview of the financial contributions and a commitment of in-kind contributions from the Members other than the Union

This table shows the financial contributions foreseen for the given budget towards the administrative expenditure (Title 1 and Title 2) year and **the minimum** in-kind contribution foreseen towards the indirect actions in activities identified in the Work Plan of the given year. The amount of in-kind contribution foreseen is to cover the complete duration of the activity and not only the year under which it has been detailed.

CONTRIBUTIONS OVERVIEW	2014	2015
CONTRIBUTIONS FROM MEMBERS OTHER THAN THE UNION	-	<b>1 352 212</b>
<i>Title 1 and Title 2 (financial)</i>	0	1 352 212
<i>Title 3 (in-kind)</i>	-	0 <sup>(1)</sup>
<b>TOTAL CONTRIBUTIONS</b>	<b>0</b>	<b>1 352 212</b>

(1) The agreements resulting from the Commitment Appropriations in Budget 2015 are scheduled to be signed in 2016.