

SHIFT2RAIL JOINT UNDERTAKING

DECISION ON THE LAUNCH OF THE SECOND STAGE OF THE CALL FOR EXPRESSIONS OF INTEREST TO BECOME ASSOCIATED MEMBER OF THE SHIFT2RAIL JOINT UNDERTAKING

N° 2/2015

THE GOVERNING BOARD OF THE SHIFT2RAIL JOINT UNDERTAKING,

Having regard to Council Regulation (EU) No 642/2014 of 16 June 2014 establishing the Shift2Rail Joint Undertaking, and in particular Articles 4 and 8 of Annex I to this Regulation¹,

Having regard to Commission Decision N° C(2014) 7084 final of 3 October 2014 on the launch of the call for expressions of interest to become associated member of the Shift2Rail Joint Undertaking (S2R JU),

Whereas:

- (1) In accordance with Article 4 of Annex I of Council Regulation (EU) No 642/2014, the associated members of the S2R JU should be selected through an open, non-discriminatory and competitive call launched by the Commission within three months following the establishment of the S2R JU,
- (2) In accordance with Articles 4 and 8 of Annex I of Council Regulation (EU) No 642/2014, the Governing Board of the S2R JU should organise a transparent evaluation, with a view to assessing, accepting or rejecting the applications for membership on the basis of a detailed list of criteria for selection of the associated members defined in the call document,
- (3) In accordance with Decision N° 1/2015 of the Governing Board of the S2R JU on the results of the first stage of the call for expressions of interest to become associated member of the S2R JU, which was launched on 6 October 2014, as a two-staged process, and which received 43 applications, of which 26 are selected to be invited to the second stage of the call,
- (4) In accordance with Article 4 of Annex I of Council Regulation (EU) No 642/2014, the Commission should take the final decision on the accession of associated members to the S2R JU, taking into account the results of the evaluation by the Governing Board, with a view to ensuring geographical balance, as well as balanced participation of SMEs, of the research community and of actors from the entire rail value chain, including from outside the traditional rail sector.

¹ OJ L 177, 17.6.2014, p. 9.

HAS DECIDED AS FOLLOWS:

Sole Article

The template for the submission of technical proposals in the second stage of the call for expressions of interest to become associated member of the Shift2Rail Joint Undertaking, as set out in the Annex, is hereby adopted.

Done at Brussels, 31 March 2015


João AGUIAR MACHADO
The Chairperson

EN
ANNEX
SHIFT2RAIL JOINT UNDERTAKING

**CALL FOR EXPRESSIONS OF INTEREST TO
BECOME ASSOCIATED MEMBER OF THE
SHIFT2RAIL JOINT UNDERTAKING**

**STAGE 2 – TEMPLATE FOR TECHNICAL
SUBMISSIONS**

Ref. S2R JU/AM/01/2015

07 April 2015

DISCLAIMER

This document introduces the template which should be used by candidates which have been invited to participate in the second stage of the competitive call for expression of interest to become associated member of the S2R JU. Submissions received using this template will serve as the basis for the selection process for candidates to become associated member to the Shift2Rail Joint Undertaking ('S2R JU'), including negotiations on the draft membership agreements, in accordance with the procedure described in the call for expression of interest to become associated member of the S2R JU, launched on 6 October 2014¹ (Ref. S2R JU/AM/01/2014) and hereinafter referred to as 'the call document'.

Submissions received using this template do not constitute any form of commitment from the S2R JU or the Commission, nor do they represent a guarantee for the submitter that its proposed activities will be reserved, retained or co-financed by the S2R JU.

Any decision on activities to be supported by the S2R JU will be taken in accordance with the rules of Horizon 2020, following an independent evaluation of proposals for projects (indirect actions), submitted by the S2R JU members, once they have concluded their membership agreements, in the framework of a grant award procedure organised by the S2R JU.

PROCESS

1. Overview of stage 2

As stipulated in the call document, the second stage of the procedure for the selection of associated members to the Shift2Rail Joint Undertaking will consist of the final selection of associated members following the submission, evaluation and negotiation of detailed technical proposals.

The call document identifies several steps in the second stage process:

1. Candidates invited to the second stage will receive from the Executive Director of the S2R JU the below template for the submission of detailed technical proposals, as well as the attached scoping paper providing an overview of the structure and the preliminary content of the draft multiannual action plan and an indication of the areas of interest of the founding members. Each candidate will also receive an individual evaluation summary report, providing feedback on the evaluation of their application, including the main strengths and weaknesses which have been highlighted by the independent evaluators, together with a score for each sub-criterion of the selection criteria defined in the initial call document, as well as comments and recommendations on important aspects that should be considered for the second stage of the call.
2. Candidate associated members will have until **21 May 2015, noon** (12:00 pm, Brussels time) to submit their stage 2 submissions.

¹ S2R JU/AM/01/2014, available under: <http://ec.europa.eu/transport/modes/rail/news/doc/2014-10-06-shift-to-rail-call/2014-10-06-call-associated-member-s2rju.pdf>

3. Stage 2 submissions will be evaluated and scored by independent experts appointed by the Governing Board on the basis of the evaluation criteria listed in the call document and the scoring system specified in this document.
4. The evaluation of the second stage submissions closes the competitive phase of the call. Only positively evaluated candidates will be invited to the consultation and negotiation phase, which will consist of bilateral discussions and negotiations between the candidate associated members and the Joint Undertaking on their draft membership agreement.
5. Following the outcome of the consultation and negotiation phase, the Commission will take a decision on the successful candidates that have been able to reach an agreement on the draft membership agreement during the negotiation phase.²

2. Documentation for stage 2

Technical submissions must be made using the below template, in accordance with the Guidelines outlined in section 3.

The reference documents for the submission are the following:

- **The Shift2Rail strategic Master Plan³**: This document was endorsed by the Council on 10 February 2015 and adopted by the Governing Board on 31 March 2015. It identifies the key long-term priorities of Shift2Rail (looking at the 2030 horizon) and indicates the main operational and technological innovations that are required to achieve the objectives of the JU. All submissions must be justified in light of this strategic document.
- **The scoping paper for the draft multiannual action plan**: This document was prepared by the Executive Director of the S2R JU, in collaboration with the 8 founding members other than the Union. It represents a first prioritisation of the R&I areas identified in the Master Plan, according to the vision of the 8 founding members other than the Union. These R&I areas are translated into proposed Technology Demonstrators (TDs) that the 8 founding members other than the Union propose to develop. The activities proposed in the scoping paper cover the S2R JU's entire available budget for the period 2014-2024 (i.e. around EUR 770 million for indirect actions⁴), notwithstanding the fact that these activities would be undertaken by all JU members (founding members and associated members), as well as through open calls.

This document in no way binds the S2R JU as regards the future activities that will be co-funded by the JU. The purpose of this scoping paper is purely informative. The aim is to provide an indication of the areas of interest of the founding members other than the Union on the activities that they consider necessary to be undertaken by the Shift2Rail Joint Undertaking as a whole, in the period 2015-2024. In order to enable candidate associated members make an

² In accordance with Article 3(2) of the S2R Statutes, "the role and contribution of members other than the Union shall be defined in a membership agreement with the Joint Undertaking". The final selection of associated members will therefore also be dependent on the conclusion of successful negotiations with the Executive Director on the draft membership agreement.

³ <http://ec.europa.eu/transport/modes/rail/doc/2014-09-24-draft-shift2rail-master-plan.pdf>

⁴ This figure represents the total contribution from the Union and from other members to the S2R JU, excluding contributions towards administrative costs and additional activities.

informed choice on the areas that they wish to submit detailed technical proposals for and, to facilitate the possible technical consolidation with the technical proposals of the 8 founding members other than the Union, the document also identifies key expertise and skills that are not covered by the 8 founding members but would be required to carry out the content of the scoping paper.

Notwithstanding the contents of this document, the JU remains fully open to ideas other than those that are already developed in the scoping paper. Concretely, this means that candidate associated members may submit proposals in areas:

- that are described in the scoping paper and complementary to the activities proposed by the founding members;
- that are described in the scoping paper and identified as being of interest to the founding members;
- that are not covered in the scoping paper, so long as they clearly justify their contribution to the S2R Master Plan.

3. Guidelines for stage 2 submissions

Technical submissions must be made using the below template. The minimum font size allowed is 11 points (font 'Calibri'). The page size is A4, and all margins (top, bottom, left, right) should be at least 15 mm (not including any footers or headers).

No other documentation will be taken into consideration.

The template is divided into the four following sections:

1. General information on the candidate. This section needs to be filled in only once per candidate (one form per consortium).
2. Priorities in the Innovation Programme / cross-cutting themes. This section needs to be filled in by the candidate once for each Innovation Programme (IP) it intends to participate in. For the purpose of the evaluation, the cross-cutting themes will be treated as just one IP. This means that this section only needs to be filled in once for all cross-cutting themes.
3. Detailed technical proposals for the envisaged Research and Innovation (R&I) Areas. This section needs to be filled in by the candidate once for each R&I area it intends to participate in.
4. Skills and Expertise. This section needs to be filled in by the candidate once for each IP it intends to participate in. For candidates intending to apply for cross-cutting themes, this section only needs to be filled in once for all cross-cutting themes.

Please refer to the template for detailed information on how to complete these sections.

The technical proposals submitted by the candidate associated members concern only the contributions to the S2R indirect actions (Article 4(2a) of the S2R Regulation), which will be co-funded by the S2R JU. At the time of the negotiation of the membership agreements, entities should also propose a plan of additional activities (Article 4(2b) of the S2R Regulation). Please note that, if some of the activities proposed by a given entity are not included in the final multiannual action plan of the S2R JU, they may be included in the entity's plan of additional activities as long as they are

complementary to the work plan of the Joint Undertaking and contribute to the objectives of the S2R Master Plan.

Unless otherwise stated in the evaluation summary reports from the 1st stage, 2nd stage submissions must not differ from the 1st stage submissions in terms of:

- the Innovation Programme(s) and/or cross-cutting theme(s) that are applied for
- the consortium's composition, where applicable.

Notwithstanding this, candidates may choose to alter the nature of the technical activities that they propose and/ or to propose a different split of activities among the entities involved in the application, to the extent that this does not impact on their “operational capacity” as evaluated in stage 1. Furthermore, candidates may choose to scale down the value of the indicative contributions that are proposed, so long as they remain above the minimum contribution for accession (as laid down in chapter 7.2 of the call document). On the other hand, they should not increase their proposed contribution.

4. Evaluation of stage 2 submissions

The evaluations will be conducted by independent experts appointed by the S2R JU's Governing Board, with a view to assessing the added value of the candidate associated member in achieving the objectives of the S2R initiative. Although they will be linked to the so called "technical submissions", the purpose of the evaluations is to identify the best suitable *associated members* for the S2R JU based on the excellence of the approach of the proposed concepts, the expected scale of impact and the required skills for implementation.

4.1. Scoring

The information contained in the submissions will be evaluated against the selection criteria for stage 2 contained in section 6.2 of the call document. In order to streamline the evaluation process, the selection criteria have been grouped as follows:

| <i>Key capability evaluated</i> | <i>Selection criteria</i> |
|---|--|
| <i>Excellence of the approach and concept</i> | <ul style="list-style-type: none"> • Proportionality, feasibility and acceptability • Value-added of the action at EU level |
| <i>Impact</i> | <ul style="list-style-type: none"> • Scale of impact on the Shift2Rail objectives of improved services for users and customer quality, reduced system costs, simplified business processes and enhanced interoperability • Business benefits in terms of market uptake and accelerated deployment in Europe and globally |
| <i>Skills and implementation</i> | <ul style="list-style-type: none"> • Available resources • Link to ongoing research and innovation activities, in particular to projects funded under previous EU Research Framework Programmes • Potential synergies with other sectors |

For each of the above-mentioned key capabilities, the application will be given scores of 0 to 5 (half marks are possible), as follows:

- 0 - The application fails to address the criterion or cannot be assessed due to missing or incomplete information (unless the result of an 'obvious clerical error');
- 1 - Poor: the criterion is inadequately addressed or there are serious inherent weaknesses;
- 2 - Fair: the application broadly addresses the criterion but there are significant weaknesses;
- 3 - Good: the application addresses the criterion well but with a number of shortcomings;
- 4 - Very good: the application addresses the criterion very well but with a small number of shortcomings;
- 5 - Excellent: the application successfully addresses all relevant aspects of the criterion; any shortcomings are minor.

Scores will be awarded per candidate for each Innovation Programme it intends to participate in. For the purpose of the evaluation, the cross-cutting themes will be treated as just one IP. This means that an overall score will be awarded per candidate for all its proposed cross-cutting themes.

In addition to this score, evaluators will provide an opinion on each of the technical proposals proposed by the candidate in order to inform the S2R JU about the relevance and the level of priority of these proposed activities, as well as the appropriateness of the proposed budgetary contribution compared to the proposed activities and the available resources within the IP. This opinion will serve to support the consultations and negotiations on the draft membership agreements and, in particular, to establish the appropriate level of own contribution for each future associated member.

In cases where a candidate has made an application as a member across all Innovation Programmes, in accordance with Articles 4(5) and 6(1)(c) of Annex I of the S2R Regulation, the evaluators will also provide an opinion on the overall performance of the applicant, in particular to assess whether it has sufficient performance to remain above the minimum contribution for accession (as laid down in clauses 7.2.2.a. and 7.2.2.b. of the call document).

4.2. Thresholds

Only those candidates that achieve a score of at least 3 out of 5 on each of the key capabilities and an overall score of at least 12 out of 15 on a given Innovation Programme (or for the cross-cutting theme 'IP') will be invited to further consultations and negotiations on that particular IP.

It is therefore possible that a candidate applying to several Innovation Programmes (or for the cross-cutting themes), is only invited to negotiate its participation in one of these Innovation Programmes.

Furthermore, the invitation to consultations and negotiations does not constitute a guarantee that each of the technical proposals by the candidate within a given Innovation Programme or within the cross-cutting theme 'IP' has been evaluated positively, nor does it represent a guarantee that the candidate's proposed own contribution to the S2R JU on that Innovation Programme / cross-cutting theme will be accepted in full. Indeed, it is possible that a candidate is invited to conclude a draft membership agreement for only part of the value of the overall work that it proposed, so long as it

remains above the minimum contribution for accession (as laid down in chapter 7.2 of the call document).

Notwithstanding the above, if the Governing Board deems that it would not be possible to propose a list of candidates enabling the Commission to take its decision on the final list of associated members that would ensure geographical balance, as well as balanced participation of SMEs, of the research community and of actors from the entire rail value chain, including from outside the traditional rail sector in accordance with Article 4(3) of Annex I of the S2R Regulation, it **may decide to invite to consultations a limited number of candidates that fall below the minimum overall threshold of 12 out of 15 on a given Innovation Programme (or for the cross-cutting theme 'IP'), so long as they achieve a score of at least 3 out of 5 on each of the key capabilities.**

Such a decision should be duly justified in view of the above-mentioned elements or the lack of sufficient and necessary key competences / capabilities to carry out strategic activities of the Joint Undertaking.

5. Consultation and negotiation phase

The consultation and negotiation phase is not competitive and consists mainly in bilateral discussions between the Joint Undertaking and the individual candidate associated members on the scope of their proposed technical and budgetary contributions.

Notwithstanding this, the final selection of the applicant will be dependent on the conclusion of successful negotiations with the Executive Director on the draft membership agreement, which will contain an indication of its contributions for the duration of the S2R JU, its involvement in the different Innovation Programmes and/or cross-cutting themes, and its expected main activities across the first years, pending the award of specific grants.

The Executive Director will use the feedback from the evaluation process as described under 4.1 in order to set up the framework of consultations and negotiations

The consultation and negotiation process will in particular serve to identify synergies and complementarities with other activities proposed by the founding members or other candidate associated members, or to identify overlaps, conflicts or duplications, which may require negotiations. It will also serve to establish with the candidate member an appropriate level of own contribution to the JU, based on the relevance of its proposals and the available resources within the IP, while still respecting the minimum contribution for accession as laid down in section 7.2 of the call document.

6. Commission decision

At the end of this process, and based on the list of candidates which have successfully concluded their negotiations with the S2R JU, the Commission shall decide on the final list of associated members.

7. Grant award procedure

Once the membership of the S2R JU is constituted, the JU will initiate the procedure for awarding grants to its members.

The award of grants will be done in accordance with the rules of Horizon 2020, following an independent evaluation of proposals for actions by the S2R JU members. The grant agreements will define the rules, rights, obligations and the technical and financial details relating to the Members' participation in actions implemented by the JU. They will be based on the Model General Grant Agreement, which can be consulted at:

http://ec.europa.eu/research/participants/data/ref/h2020/grants_manual/amqa/h2020-amqa_en.pdf

8. Confidentiality

The S2R JU will treat all submissions confidentially, as well as any related information, data, and documents it receives from the candidates.

Subject to the prior agreement of the concerned parties, the S2R JU could however disclose limited parts of the submissions to other candidate or the founding members, with the exception of the business-sensitive information, during the consultation and negotiation phases.

9. Deadline for submission

Candidate associated members are invited to send their stage 2 submissions to MOVE-SHIFT2RAIL-TECHNICALSUBMISSIONS@ec.europa.eu by 21 May 2015, noon (12.00 pm, Brussels time).

TEMPLATE FOR SUBMISSIONS

Section 1: General information

This section needs to be filled in only once per candidate (one form per consortium). Entities must indicate all the Innovation Programme(s) (IP) and/or cross-cutting themes, in which they propose activities.

Applicants must indicate the total value of their planned own contribution to R&I activities under the S2R JU IP/cross-cutting theme. This value must exclude the contribution of the S2R JU and be based on the assumption that the S2R JU contribution will be subject to the double cap described in section 5 of the call document. The value of the planned own contribution to R&I activities for all IPs/cross-cutting areas must also exclude any contributions to indirect actions awarded under the rail topic of the call H2020-MG-2014_TwoStages, which should be stated separately.

Identification of the entity (including a list of all consortium members, where relevant):

[...]

Relevant IP(s):

IP 1 [] IP 2 [] IP 3 [] IP 4 [] IP 5 []

Cross-cutting theme(s): (please specify) [] _____

Proposed contribution to indirect actions and request for Union contribution:

Total own contribution to indirect actions carried out under the S2R JU (for all proposed IPs/cross-cutting themes): [...]

Contribution from the Union to the realisation of indirect actions under the 'lighthouse' projects awarded under the call H2020_Mobility for Growth_2014: [...]

Identification of the person/s and their contact details (telephone number and e-mail address) for the communications related to the present call:

[...]

Section 2: Your priorities in the Innovation Programme / Cross-cutting theme

This section needs to be filled in by the candidate once for each Innovation Programme (IP) it intends to participate in. For the purpose of the evaluation, the cross-cutting themes will be treated as just one IP. This means that this section only needs to be filled in once for all cross-cutting themes. In cases where a consortium intends to participate in different IPs/cross-cutting themes, please clearly indicate which members of the consortium (if not all) are planning to contribute to this IP/cross-cutting theme.

(Maximum 6 000 characters + Appendix 1)

In this section, candidates should demonstrate that their proposed activities constitute a credible and coherent long-term approach towards meeting the objectives of the relevant Innovation Programme / cross-cutting theme and, more generally of the S2R JU, as described in the Master Plan (in particular the S2R objectives of improved services for users and customer quality, reduced system costs, simplified business process and enhanced interoperability).

- Please provide a general description of your proposed approach for this Innovation Programme / cross-cutting theme, for the period 2015-2024, indicating the priority Research and Innovation (R&I) areas to be addressed, and, where applicable, the key Technology Demonstrators (TDs) to be developed in order to fulfil the objectives of the Innovation Programme, and justifying this prioritisation. Please also indicate if you believe some TDs are missing from the scoping paper, and if you are proposing additional or alternative TDs.
- Please describe your expected participation to this Innovation Programme and any synergies and advantages from your participation within one or more R&I areas and/or TDs in order to deliver sufficient impacts to meet the Master Plan objectives. Please indicate your ambitions for meeting these objectives.
- In filling in Appendix 1, please provide an overview of your proposed investments for each individual Innovation Programme.

[...]

Section 3: Envisaged R&I areas and technology demonstrators

In this section, candidates should develop detailed technical proposals for the R&I activities they wish to undertake. This section must be filled in once for each R&I Area that the candidate intends to take part in.

1. Objectives and approach (*maximum 10 000 characters*)

In this section, candidates should demonstrate that they can make a sound, credible and ambitious contribution towards the research and innovation areas described in the Master Plan.

- Describe your vision of the proposed R&I area and explain how the proposed activities will contribute to meeting the objectives of the Innovation Programme as described in the S2R Master Plan. Please also indicate if you are proposing additional or alternative Technology Demonstrators (TDs) to the one presented in the current scoping paper for this R&I area.
- Describe and explain the overall concept underpinning the proposed activities for the relevant R&I area. Describe the main ideas, models or assumptions that are supporting this approach and the particular expertise you can bring in.
- Describe the advance your proposal would provide beyond the state-of-the-art, and the extent the proposed work is ambitious and ground-breaking. In doing so, please indicate the range of TRLs which will be covered by the proposed activities.
- Describe and quantify the expected impacts of the proposed activities in terms of meeting these objectives. Describe expected business benefits, possible market uptake and deployment in Europe and globally resulting from the proposed activities. Insofar as possible, please describe how these impacts will contribute to meeting the objectives of the Master Plan. If the impacts are limited to particular market segment(s) (e.g. urban, regional, etc.), please clarify what is the scope of the proposed actions.
- Where applicable, provide cross-references to the indicative list of R&I areas contained in the S2R Strategic Master Plan and/or to the R&I areas and Technology Demonstrators described in the scoping Paper. Candidates must indicate their intention to align with or to deviate from the R&I activities as outlined in the scoping paper.
- Where the proposed activities are similar with those outlined in the scoping paper, candidates should focus on providing information on the added-value of their contribution to this approach.

[...]

2. Implementation (*maximum 4 500 characters*)

In this section, candidates should provide a synthetic and coherent plan for implementing the R&I area, showing that there is a logical sequence of tasks, and that the allocation of resources is appropriate and represents good value for money.

- Please describe the plan for implementing the proposed R&I area, outlining the priority tasks that need to be carried out in order to achieve the stated objectives and impacts. In doing so, please justify that this represents a sound approach for delivering the objectives of the Master

Plan and explain in particular how the full realisation of the activity will constitute a significant step to meet these objectives.

- Please provide details on those tasks that you intend to cover fully or partly (percentage), indicating the resources needed to carry out each task and, if necessary, the type of expertise that would be needed to complement your action. Where applicable, provide cross-references to the indicative list of tasks contained in the scoping Paper.
- Where the proposed activities are similar with those outlined in the scoping paper, candidates should focus on their specific contribution to the implementation of the project.

[...]

Section 4: Skills and capabilities

This section needs to be filled in by the candidate once for each Innovation Programme (IP) it intends to participate in. For the purpose of the evaluation, the cross-cutting themes will be treated as just one IP. This means that this section only needs to be filled in once for all cross-cutting themes.

(Maximum 6 000 characters)

In this section, candidates should demonstrate that they possess the required skills, experience and overall capabilities to successfully implement the proposed tasks and that their participation is essential for the successful realisation of the Innovation Programme.

They must also clearly demonstrate the ability to work in cooperation with other potential members and partners of the S2R JU to implement their proposed contribution.

In the case of submissions made on behalf of a consortium or a grouping, candidates should pay particular attention in describing the role, skills, and added value of each consortium member. Nonetheless, please note that the evaluation will reflect the performance of the entire consortium and not of individual members of the consortium.

- Please describe the added-value of your involvement in the IP, irrespective of the selection of your proposed technical proposals. In particular, please describe how your involvement is essential for the success of the Innovation Programme
- Please describe the experience and the expertise that you possess to deliver the objectives of the Innovation Programme. Please also describe the specific capabilities (such as research labs, test vehicles, test tracks or other) that could support the proposed tasks in this Innovation Programme.
- Please describe relevant technology references or results from previous R&I activities carried out by the entity or its affiliate(s) that may contribute to the proposed tasks in this Innovation Programme.
- Please identify any trans-disciplinary considerations that you may bring in to the proposed tasks in this Innovation Programme

[...]

Appendix 1: Summary of the proposed technology demonstrators and priority tasks

The below table must be completed for all R&I areas / TDs that the candidate wishes to take part in. In the case of consortia, the table must be completed for each constituent entity within the consortia and a summary table, indicating the total contribution of the full consortium must be provided.

Candidates should indicate:

For each R&I area or TD, the overall budget. In estimating the overall budget, please indicate the overall cost of the action, as well as the share that will be covered by the candidate directly. In the case where the proposed activities and tasks are aligned with those outlined in the scoping paper, please justify any differences in the estimated budget in comparison to the indicative budget provided in the scoping paper).

For the purpose of estimating the overall budget of the R&I area or TD, please remember that the maximum co-financing available for actions supported by the JU is subject to a double cap, since it must comply with both the H2020 rules (and in particular Regulation (EU) No 1290/2013) and the specific provisions of the S2R Regulation. This means that the funding rate for grants will be limited to a maximum of 100% of the total eligible costs for R&I actions and a maximum of 70% of the total eligible costs for innovation actions. At the same time, the S2R JU financial contribution to each indirect action must not exceed 47.6% of the total costs for carrying out that action. Also, in no circumstance can the co-financing provided by the S2R JU give rise to any profit.

| IP | Priority R&I Area as indicated in the Master Plan | Technology demonstrator | Envisaged TRL range | Link to previous or ongoing R&I activities (esp. FP7 or H2020) | Estimated budget of the full activity in Euro (incl. envisaged EU grant) | Estimated own contribution from the candidate Member in Euro (excl. envisaged EU grant) | Estimated share of the candidate's contribution in the action (percentage) |
|-----------------------------------|---|-------------------------|---------------------|--|--|---|--|
| [IP]/[CCA] [...] | [...] | [NAME] | [...] – [...] | [YES / NO] [Name] | [...] | [...] | [...]% |
| | | | | | | | |
| Total for [IP]/[CCA] [...] | | | | | | | |