



DECISION OF THE GOVERNING BOARD

concerning the function of adviser

N° 22/2018

THE GOVERNING BOARD OF THE SHIFT2RAIL JOINT UNDERTAKING,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to the Staff Regulations of Officials (hereinafter 'Staff Regulations') and the Conditions of Employment of Other Servants of the European Union (hereinafter 'CEOS') laid down by Council Regulation (EEC, Euratom, ECSC) No 259/68¹, and in particular Articles 2, 4, 5, 7 and 29 of the Staff Regulations and Articles 6, 10(1) and 53 of the CEOS,

Having regard to Council Regulation (EU) No 642/2014 of 16 June 2014 establishing the Shift2Rail Joint Undertaking²,

Having regard to Communication C(2014)6543 final of 26 September 2014 from Vice-President Šefčovič to the Commission on the guidelines on the implementation of Article 110(2) of the Staff Regulations with regard to the implementing rules applicable in the agencies, and in particular Point 2.B thereof,

Having regard to Shift2Rail Joint Undertaking Governing Board Decision N°7/2015 of 16 July 2015 laying down general implementing provisions on the procedure governing the engagement and use of temporary staff under Article 2(f) of the CEOS (hereinafter 'GIPs on temporary staff 2(f)'),

Having regard to the Commission Decision of 16 December 2013 on types of post and post titles³ applied by analogy in Shift2Rail Joint Undertaking,

Having regard to the rules of procedure of the Governing Board of Shift2Rail Joint Undertaking and in particular Articles 8 and 12 thereof,

¹ OJ L 56, 4.3.1968, p.1.

² OJ L 177, 17.06.2014, p.9.

³ C(2013) 8979, Administrative Notice No 70-2013

Having regard to the agreement of the European Commission pursuant to Article 110(2) of the Staff Regulations C(2018)2209 of 11 April 2018,

After consulting the Staff Committee,

Whereas:

- 1) On 8 July 2016, the Commission informed the Shift2Rail Joint Undertaking that it adopted Decision C(2016)3214 of 7 June 2016 concerning the function of adviser.
- 2) Pursuant to Article 110(2) of the Staff Regulations, implementing rules such as those referred to in Recital 1 shall apply by analogy to the Shift2Rail Joint Undertaking. By way of derogation, an agency may request the Commission's agreement to the non-application of certain implementing rules. The Commission may, instead of accepting or rejecting the request, require the agency to submit for its agreement implementing rules, which are different from those adopted by the Commission.
- 3) Commission Decision C(2016)3214 is suitable to apply to Shift2Rail Joint Undertaking if it is adapted to the peculiarities of the Shift2Rail Joint Undertaking. Those peculiarities concern in particular the parties involved in the selection procedure and the eligibility of temporary staff referred to in Article 2(f) CEOS.
- 4) The legal framework established by the present Decision offers a scope for the mobility of advisers who would like to work in a new environment.
- 5) It is also necessary to take account of the revised Staff Regulations, which entered into force on 1 January 2014.

HAS DECIDED AS FOLLOWS:

SECTION 1. GENERAL PRINCIPLES

Article 1: Subject matter and scope⁴

1. The purpose of this Decision is to establish a legal framework setting out the rules of the Shift2Rail Joint Undertaking concerning:
 - the duties of advisers;
 - the position of advisers in the organisational structure of the Shift2Rail Joint Undertaking;

⁴ Any reference in this Decision to a person of the male sex shall be deemed also to constitute a reference to a person of the female sex, and vice versa, unless the context clearly indicates otherwise.

- the procedures for the selection and appointment of advisers;
 - the arrangements for reassigning advisers to functions not appearing in the organisation chart *or* to the function of senior expert in certain circumstances.
2. This Decision shall apply to all the Shift2Rail Joint Undertaking services.

Article 2: Definition

1. Adviser functions shall be those that meet all of the following criteria:
- they involve duties that, in view of their horizontal nature (such as coordination, representation, analysis and advice), represent a high added value for the Agency;
 - without prejudice to the exceptions provided for in Article 3(2), they do not involve the direct management of human or financial resources or middle-management tasks;
 - they require special qualities of the person concerned and in particular special expert knowledge and/or special experience and the capacity to provide guidance of high added value.
2. Adviser functions must correspond to a need of the service, which may be permanent or temporary.
3. Advisers shall be appointed at grades AD 13/AD 14 (external publications are excluded).

Article 3: Administrative status

1. Advisers shall in principle be administratively attached to the Head of the Agency as referred to in the act(s) establishing the Agency (*‘the Executive Director’*). In exceptional and duly justified cases, they may be attached to a head of unit⁵.
2. Advisers in principle don't exercise hierarchical authority over staff. However, a maximum of two staff members providing administrative support may be directly attached to an adviser. Moreover, advisers may head a small team during a specific mission or to implement a specific project.
3. Each adviser function must have a specific title and a full job description.

⁵ The term ‘head of unit’ refers to all middle management functions.

SECTION 2. FILLING ADVISER FUNCTIONS – INTERNAL, INTER-AGENCY MOBILITY

Article 4: Filling the functions

The function shall in principle be filled by publication Article 4 and/or 7 of the GIPs on temporary staff 2(f). A temporary staff may be reassigned to an adviser function in the interests of the service (Article 7(1) of the Staff Regulations⁶) when the conditions set out in Article 6(1) of this Decision are fulfilled.

Article 5: Publication of a function

In the case of publication, the Agency entity in charge of human resources shall draw up a vacancy notice that is agreed by the Executive Director and then shall publish it.

Article 6: Eligibility

1. In the case of reassignment to an adviser function in the interests of the service within an Agency (in accordance with Article 7 of the Staff Regulations), the person must:
 - be an established temporary staff member referred to in Article 2(f) CEOS not serving their probationary period,
 - be in grade AD 13 or AD 14,
 - already occupy an adviser function or have occupied such a function.
2. Where a vacancy notice is published, candidates must, on the closing date for the receipt of applications:
 - be established temporary staff member referred to in Article 2(f) CEOS not serving their probationary period. As regards inter-agency publication, Article 9 of the GIPs on temporary staff 2(f) shall apply;
 - be in grade
 - AD 13 or AD 14, or
 - in case of an official or temporary agent of the same agency where the vacancy is to be filled, to be AD 12 and have a seniority of two years in that grade,
 - possess the qualifications specified in the vacancy notice.

⁶ Applicable to temporary staff by virtue of Article 10(1) of the CEOS.

Article 7: Selection and appointment

1. Selection:

In the case of publication of a vacancy notice, the stages in selection to be followed by the appointing authority or the AACC shall be as follows:

(a) Definition of the job profile

The vacancy notice shall set out in detail the tasks and duties of the function to be filled, including those in the job description. It shall also specify the minimum qualifications, which applicants must possess for their applications to be taken into consideration and any other desirable qualifications.

(b) Assessment of applications by the pre-selection panel

The Executive Director shall set up a pre-selection panel comprising at least two members of a grade equal to that of the function to be filled including one member from another agency. Where there are no temporary agents in the agency fulfilling the requirement of the grade, the Executive Director may decide to designate officials or temporary agents from another agency or institution who fulfil that condition. The Executive Director may invite other persons to the pre-selection panel as observers. The Executive Director must ensure whenever possible, that there is a balanced representation of men and women in the pre-selection panel.

Using assessment checklists, the pre-selection panel shall consider all applications received having regard to the vacancy notice and the CVs of the applicants. Where appropriate, it shall conduct interviews. It shall draw up a shortlist of the applicants who best correspond to the profile sought, giving reasons for its choices.

(c) Interviews by the Executive Director and one head of Unit

The Executive Director and the members mentioned above shall interview the applicants on the shortlist.

2. Appointment:

(a) The appointing authority or the AACC shall appoint the successful applicant. The selected candidate shall be appointed in his current grade, except for candidates in grade AD 12 who shall be appointed in grade AD 13.

(b) Articles 6(2) and Article 10 of the GIPs on temporary staff 2(f) shall apply respectively to the selected candidates of the same and a different agency.

SECTION 3: REASSIGNMENT OF ADVISERS

Article 8: Reassignment of advisers to a senior expert function

1. Reassignment in case of unsatisfactory performance

A procedure for reassignment to a senior expert function may be launched by the Executive Director if the performance of an adviser has been evaluated as unsatisfactory in the last annual report provided for in Article 43 of the Staff Regulations. It must be launched by the Executive Director if the performance has been evaluated as unsatisfactory in two of the last three annual reports. Furthermore, the procedure may be launched by the Executive Director in exceptional and duly justified cases.

The Executive Director shall take the final decision, after hearing the temporary staff concerned and consulting the Chair of the Governing Board.

2. Reassignment in case of revision of the organisation chart or the function of adviser ceases to exist

Where the function occupied by an adviser ceases to exist as a consequence of a revision of the organisation chart of the Agency, the Executive Director may, after having examined the possibilities of transfer to a vacant adviser function in the Agency and after hearing the adviser, reassign him to a senior expert function.

3. Reassignment in other cases

In other cases, the Executive Director may, with the agreement of the adviser, reassign him to a senior expert function.

SECTION 4 – SPECIFIC CASES AND FINAL PROVISIONS

Article 9: Seconded advisers

1. A function of ‘seconded adviser may be created to permit the secondment of an adviser in the interests of the service (under Article 37(a) and Article 38 of the Staff Regulations, or Article 51 of the CEOS).

2. The function of ‘seconded adviser’ shall be created in the Agency of origin of the person concerned, in accordance with the applicable rules on modifications to the organisation chart. The function shall automatically cease to exist when, either at the end of the secondment or at the latest 12 months afterwards, the official is reassigned or appointed to a different function.

3. At the end of the secondment, the seconded adviser shall return to the Agency of origin and occupy the function of seconded adviser pending reassignment in the interest of the service or appointment (following the publication of a function) to an adviser function within 12 months.

If, at the end of that period, the temporary staff member has not been reassigned or appointed to an adviser function, the Executive Director shall, after hearing the official, reassign him to a senior expert function.

Article 10: Final provisions

1. Commission Decision C(2016)3214 does not apply by analogy to the Shift2Rail Joint Undertaking.

This Decision shall take effect on the day following that of its adoption.

Done at Brussels, on 4 December 2018.

For the Governing Board,

A handwritten signature in black ink, consisting of stylized letters 'H', 'H', and 'O' followed by a long horizontal stroke that curves upwards at the end.

Henrik HOLOLEI
The Chairperson

att.