



Questions & Answers

Call for proposals 2021

H2020-S2RJU-2021

Date of publication:

Document history		
Revision	Date	Description
1.0	23.04.2021	Question n. 1-6
1.1	23.04.2021	Question 7 added
1.2	06.05.2021	Question 8 added
1.3	07.05.2021	Questions 9 and 10 added

Acronyms	Abbreviation
Shift2Rail Joint Undertaking	S2R JU

<p>H2020 Annotated Model Grant Agreement http://ec.europa.eu/research/participants/data/ref/h2020/grants_manual/amga/h2020amga_en.pdf</p>	<p>AGA</p>
<p>S2R JU Model Grant Agreement http://ec.europa.eu/research/participants/data/ref/h2020/other/mga/jtis/h2020-mga-multi-shift2rail-ju_en.pdf</p>	<p>MGA</p>
<p>Linked Third Party</p>	<p>LTP</p>
<p>Call for S2R JU Members</p>	<p>CFM</p>
<p>Open Call</p>	<p>OC</p>
<p>Grant Agreement Preparation</p>	<p>GAP</p>
<p>Grant Agreement</p>	<p>GA</p>

<p>Consortium Agreement</p>	<p>CA</p>
<p>S2R JU Annual Work Plan and Budget for 2021 https://shift2rail.org/about-shift2rail/reference-documents/annual-work-plan-andbudget/</p>	<p>S2R AWP 2021</p>
<p>Regulation (EU) No 1290/2013 of the European Parliament and of the Council of 11 December 2013 laying down the rules for participation and dissemination in "Horizon 2020 – the Framework Programme for Research and Innovation (2014-2020)" and repealing Regulation (EC) No 1906/2006 (OJ L 347, 20.12.2013, p.81) http://eur-lex.europa.eu/legalcontent/EN/TXT/?uri=uriserv:OJ.L .2013.347.01.0104.01.ENG</p>	<p>H2020 Rules of participation</p>
<p>Regulation (EU) No 1291/2013 of the European Parliament and of the Council of 11 December establishing Horizon 2020 – the Framework Programme for Research and Innovation (2014-2020) and repealing Decision No 1982/2006/EC (OJ L 347, 20.12.2013, p.104) http://eur-lex.europa.eu/legalcontent/EN/TXT/?uri=uriserv:OJ.L .2013.347.01.0104.01.ENG</p>	<p>H2020 framework Regulation</p>
<p>Council Regulation (EU) No 642/2014 of 16 June 2014 establishing the Shift2Rail Joint Undertaking (OJ L 177, 17.6.2014, p. 9) http://eur-lex.europa.eu/legalcontent/EN/TXT/?uri=uriserv:OJ.L .2014.177.01.0009.01.ENG</p>	<p>S2R Regulation</p>

Questions & Answers		
Q	Topic	S2R Answer
1	At OC in AWP21 also Founding/Associated Members can join a potential consortia, but they would have to provide the additional ikop (as per membership agreement) on top right?	<p>We can confirm that according to the S2R Annual Work Plan 2021, for the call for proposals topic ID S2R-OC-IP5-01-2021 and S2R-OC-CCA-01-2021, eligibility conditions allow S2R Members (both Associated and Founding - including the constituent entities of members in the form of consortia or groupings, or affiliated entities either to the Shift2Rail JU members or to the constituent entities of members in the form of consortia or groupings) to participate. This allows for the constitution of consortia participated by S2R Members and non-Members.</p> <p>If a S2R Member becomes a direct beneficiary of the grant funded by the S2R JU, the current provisions of the respective Membership Agreement shall be complied with. i.e. the Member is expected to provide the complementary In Kind Contributions for Operational Activities and this shall be indicated in the description of the proposal.</p>
2	<p>Dear S2R team,</p> <p>We are currently studying the CSA call for projects S2R-IP5-01-2021 for one of our clients, a company in the railway sector which is very interested in this call for projects and could be a great candidate to answer to the targeted points.</p>	<p>Standard minimum conditions for participation are detailed in Article 9, of Regulation n. 1290/2013, laying down the rules for participation in Horizon 2020.</p> <p>Paragraph 1 of art. 9 states that at least three legal entities shall participate in actions, these entities shall each be established in different EU Member States or Horizon 2020 associated countries and they shall be independent of each other.</p> <p>However, in the case of CSA actions, which is the case of the topic call S2R-IP5-01-2021, there is a specific derogation in paragraph 4 according to which the minimum condition shall be the participation of at least one legal entity only, established in an EU Member State or Horizon 2020 associated country.</p> <p>For the full text of the regulation please follow this link https://eur-lex.europa.eu/legal-content/EN/TXT/?uri=CELEX%3A32013R1290</p>

	<p>As such, we have a question: does the project have to be collaborative? If so, does the three-country rule from three different countries have to be respected? We find different information, and we want to be sure before starting to build the application.</p> <p>Thank you very much,</p> <p>Kind regards,</p>	
3	<p>For more than 10 years our company has designed and manufactured damping systems for freight cars such as draft gears and polymer springs according to various international standards.</p> <p>1) In our opinion, the most effective</p>	<p>Please note that the S2R AWP defines the Research and Innovation activities that will be implemented through call(s) for proposals and/or call(s) for tenders. You can find information on the S2R AWP 2021 at the S2R website: https://shift2rail.org/participate/call-for-proposals/</p> <p>In addition, please note that the S2R 2021 call for proposals is currently published in the S2R website. You can find all information related to this call through the link below (please note that the deadline for submission of proposals is set for 18 May 2021) and you can check if one of the topics proposed would fit your competences and ideas for R&I: https://shift2rail.org/participate/call-for-proposals/ongoing-call-for-proposals/</p> <p>Please consider that it is up to the consortium to build up a proposal that can properly address the specific challenge, the scope and expected impact of the topic, so that external independent experts (not S2R JU staff) will be able to assess the proposal.</p>

solution for freight cars in Europe is an automatic coupling system consisting of:

- coupler head
- yoke
- draft gear having a housing

This type of coupling is used in the United States, Russia, China, India and other countries. It will simplify and unify the manufacturing of cars, speed up the maintenance process and reduce the demurrage of wagons.

In the case of unification, the replacement of the draft gear because of its damage will not require the replacement of the whole automatic coupling. The draft gear of one manufacturer can be replaced easily and quickly with draft

gear of other manufacturers. The number of manufacturers will also increase and that increases competition and leads to cost-cutting of products. As a result, for different types of cars it will be needed to replace only the draft gear (the draft gears with the capacity of 70-90 kJ for standard wagons and for tank-wagon - 100-130 kJ) without changing other parts of the coupling system. Alternatively, the draft gear can be combined with the yoke, but it will worsen the unification and complicate the maintenance.

2) Using only a polymer spring as a damping device in

the coupling systems is not enough. For example, the Damping of the polymer spring is 50-60% and the Damping of the friction draft gear is 70-80%. The higher the damping is, the less self-oscillation of the cars is on the way. It is important and affects not only traffic safety, but also the safety of cargo and equipment, energy savings for transportation.

3) The draft gear has a stroke, limited by its housing. If the standard loads are exceeded during the operation, some part of the energy can be absorbed by the housing. Thus, the housing is an additional protection at higher loads, up to 400-450t. We consider it

is wrong to install spring's stroke limiters in the yoke or even their absence.

4) In countries that apply the AAR specifications or similar standards (USA, China, Australia, India, etc.), the stroke of the draft gears is 82mm. In this case, the amplitude of self-oscillations is also reduced and safety is increased. The stroke 82mm in comparison with 105mm reduces the amplitude of self-oscillations during motion by 2 meters of the train (consisting of 45 cars).

5) The capacity of draft gears with 82mm stroke is 60-70kJ. For example, the standard with the requirements for energy capacity of

	<p>45000ft/lb (equal to 62 kJ) at a stroke of 82mm has been applied on the Indian railway since 2018. And in Russia the stroke of the draft gears is 120 mm with the energy capacity of 70-130 kJ. Thus, unifying the yoke to increase energy capacity, it will be necessary to replace only the draft gear, for example, for use on wagons carrying hazardous goods. Friction draft gears are the standard in all countries that use automatic coupling.</p> <p>Your earliest reply is appreciated.</p>	
<p>4</p>	<p>Dear Shift2Rail's team, We are developing an innovative light rail transportation system. Recently we have built a consortium of</p>	<p>Please consult the Shift 2 Rail Joint Undertaking webpage for information about participation in the programme (https://shift2rail.org/participate/) and for current and future opportunities to apply for a call that is suitable to your consortium's research field.</p> <p>Currently there is a fully open call for S2R Members and non-JU Members divided in two topics: Digital Automated Coupler innovation for the European Delivery Programme (S2R-IP5-01-2021) and R&I impact and benefits to make rail attractive for stakeholders (S2R-CCA-01-2021). For more information please follow this link: https://shift2rail.org/participate/call-for-proposals/</p>

	<p>different universities around Europe and UK to cover most of the research fields of our technology, from the technical side to the social and financial side. Is there any specific funding program/grant for this project?</p>	
5	<p>Can a Founding/Associated Member be a Project Partner as Beneficiary?</p>	<p>Yes, please refer to the Answer to Question 1</p>
6	<p>Can a Founding/Associated Member work as a Subcontractor to non-member Beneficiary?</p>	<p>Subject to the conditions of Article 13 of the Grant Agreement, there are no elements which would question the selection of a S2R Member and its affiliated entities as a subcontractor by a non-member beneficiary of a S2R grant agreement. Please note that specific rules apply to the award of subcontracts (e.g. Subcontracting may cover only a limited part of the action, best value for money principle, no Conflict of Interest).</p> <p>Nevertheless, a beneficiary cannot be subcontractor and direct beneficiary in the same grant.</p> <p>For further information please refer to Article 13 of the of the Annotated Model Grant Agreement: https://ec.europa.eu/research/participants/data/ref/h2020/grants_manual/amga/h2020-amga_en.pdf</p>

<p>7 We are currently preparing a proposal for the S2R-OC-IP5-01-2021 Digital Automated Coupler innovation for the European Delivery Programme call.</p> <p>We are struggling to find information about eligibility conditions for the call. Seeing that the call is for a CSA, we understand from the Annexes B and C to the H2020 Work Programme that the minimum eligibility conditions for proposals is one legal entity from one member or associated state. However, in our experience specific calls often have additional requirements regarding the number of partners and countries that have to be included.</p>	<p>Regarding coordination and support actions (CSA), the specific derogation in paragraph 4, Article 9 of Regulation n. 1290/2013, allows for the participation of at least one legal entity established in an EU Member State or Horizon 2020 associated country.</p> <p>If a S2R Member becomes a direct beneficiary of the grant funded by the S2R JU, the current provisions of the respective Membership Agreement shall be complied with. i.e. the Member is expected to provide the complementary In Kind Contributions for Operational Activities and this shall be indicated in the description of the proposal.</p> <p>Standard admissibility and eligibility conditions from Parts A, B and C of the General Annexes to the EC Horizon 2020 Work Programme 2018-2020 apply (https://ec.europa.eu/research/participants/data/ref/h2020/other/wp/2018-2020/annexes/h2020-wp1820-annex-ga_en.pdf) as indicated in the call conditions and in the S2R Annual Work Plan 2021: https://shift2rail.org/wp-content/uploads/2021/04/AWP_2021_amendment_1_final_202104.pdf 17.</p>
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We would therefore like to know :1) what is the requirement for the number of partners in the proposal, 2) what is the number of member and/or associated countries in the proposal, and 3) are there are any additional eligibility (formal or informal) conditions (e.g. number of s2r members participating etc).

We would highly appreciate a quick reply as the deadline is approaching fast.

Thank you in advance.

<p>8 Dear Sir or Madam,</p> <p>I have a question regarding the S2R-IP5-01-2021: “Digital Automated Coupler innovation for the European Delivery Programme” call.</p> <p>The indicated duration is 18-24 months. If the project is now planned for 18 months, how many reporting periods will there be? If there is only one reporting period, how much of the funding is indicatively paid out as pre-financing?</p> <p>And if the project is planned for 19 months, will there be two reporting periods?</p> <p>Thank you very much for your support!</p>	<p>For Open Calls with Shift2Rail, the reporting period for interim payments will be set every 12 months after the start of the project if the duration is between 18 to 24 months, and every 18 months for longer life projects (as specified in section 3.4.2 in the S2R Process and Governance handbook https://shift2rail.org/wp-content/uploads/2021/03/S2RJU-Governance-and-Process-Handbook_20200303_v2.0.pdf). So for an 18-month project there will be two reporting periods and the same applies to a 19-month project. Regarding pre-financing, please note that in accordance with the provisions in art.21 of the Model Grant Agreement there is no standard amount or percentage for pre-financing. However, in previous years S2R JU has applied a pre-financing rate between 50-55% in our Open Call for Proposals.</p> <p>Furthermore, 5% of the maximum grant amount is retained from the pre-financing payment and transferred into a “Guarantee Fund”. The Guarantee Fund objective is to protect from financial risks that may materialise during the project implementation. The amount paid to this fund will be released at the moment of the project’s final payment, unless something goes wrong. Consequently, the project would receive “in cash” at the start of the action a pre-financing around 45-50%.</p>
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<p>9 Good afternoon,</p> <p>Is this fund still open for UK applicants? (business currently registered in the UK).</p> <p>We are building a new travel booking platform and our mission is to provide a complete end-to-end travel booking service for every part of a journey with one ticket. We want to offer customers a choice of travel options, including carbon offsetting and offer sustainable rewards for those choosing the green option and sell a multi-modal ticket where the customers can book the journey end-to-end, including any transfer they might require to connect the main part of the</p>	<p>Regarding participation of UK-based applicants you can refer to the Withdrawal Agreement as agreed between the European Union and the United Kingdom entered into force on 1 February 2020.</p> <p>On the basis of the Withdrawal Agreement, the UK-based legal entities will continue to be fully eligible to participate and receive funding in the current 2014-2020 EU programmes, including Horizon 2020, as if the UK were a Member State until the closure of these programmes. This means that UK beneficiaries can continue – without interruption – to receive grants awarded under the current and previous MFFs until their end dates, even if these are after 2020.</p> <p>Please refer to the specific UK disclaimer included in the adopted AWP 2021: https://shift2rail.org/wp-content/uploads/2020/12/GB-Decision-08-2020_Annex_AWP-2021.pdf.</p> <p><i>“Please be aware that following the entry into force of the EU-UK Withdrawal Agreement on 1 February 2020 and in particular Articles 127(6), 137 and 138, the references to natural or legal persons residing or established in a Member State of the European Union are to be understood as including natural or legal persons residing or established in the United Kingdom. UK residents and entities are therefore eligible to participate under the call for proposals, call for tenders and prizes indicated in this annual work plan.”</i></p> <p>For more specific information you can also consult the FAQs in relation to Brexit published on the Funding and Tenders portal: https://ec.europa.eu/info/funding-tenders/opportunities/portal/screen/support/faq;grantAndTendertype=1;categories=;programme=null;actions=;keyword=brexit;period=null</p> <p>For the successor programme and rules for UK applicants in the next MFF you can refer to this guide: https://ec.europa.eu/info/sites/default/files/research_and_innovation/strategy_on_research_and_innovation/documents/ec_rtd_uk_participation-in-horizon-europe.pdf.</p> <p>In term of content for this open call the two topics open for proposals are on Digital Automated Coupler innovation for the European Delivery Programme (S2R-IP5-01-2021) and on the R&I impact and benefits to make rail attractive for stakeholders (S2R-CCA-01-2021): https://shift2rail.org/participate/call-for-proposals/ongoing-call-for-proposals/</p>
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	<p>trip, all on one platform. We want to provide an easy way to search and book both leisure and business travel and encourage customers to make sustainable travel choices.</p> <p>I look forward to hearing from you.</p>	
10	<p>I am writing because of specific issue.</p> <p>My organisation is part of a consortium in SHIFT2RAIL. A Company that is our subsidiary in around 33% want to join open call consortium. They have not worked in project where the mother company is working in SHIFT2RAIL.</p> <p>Is that situation ok or the are some</p>	<p>The subsidiary's participation is allowed, please refer to the Answer to Question 1.</p>

	<p>problem with their participation in open call?</p> <p>I will be grateful for every information.</p>	
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